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6 Attorneys for Respondent
7 Associated Securities Corp.

8 **UNITED STATES DISTRICT COURT**
9 **CENTRAL DISTRICT OF CALIFORNIA—SOUTHERN DIVISION**

10
11 MARIA BREZDEN, individually and on
behalf of the MARIA BREZDEN IRA
12 and as trustee of THE BREZDEN
FAMILY TRUST, JOHN BREZDEN,
13 individually and on behalf of the JOHN
BREZDEN IRA and as trustee of THE
14 BREZDEN FAMILY TRUST,
CLAYTON W. BECK, individually and
15 on behalf of the CLAYTON BECK IRA,
KATHY Beck, individually and on
16 behalf of the KATHY BECK IRA,
DARCIE FULKERSON, JONATHAN
17 FULKERSON, DEBBIE BEVERLY,
individually and on behalf of THE
18 ALAN BEVERLY IRA and as trustee of
THE ALAN AND DEBORAH
19 BEVERLY FAMILY TRUST, KEVIN
A. HOWARD, MARK GUIDL,
20 individually and as trustee of THE
MARK GRUIDL TRUST, ANGELA
21 SOLL, individually and as trustee of
THE SOLL TRUST, MARK SOLL,
22 individually and as trustee of THE SOLL
TRUST, trustee of THE CENTRAL
23 COAST CHEST CONSULTANTS
TRUST PROFIT SHARING PLAN-
24 MARK SOLL and trustee of THE
CENTRAL COAST CHEST
25 CONSULTANTS PROFIT SHARING
PLAN, WILLIAM SIMA, individually
26 and on behalf of THE WILLIAM SIMA-
MD 401(K) PSP and as trustee of THE
27 WILLIAM AND DAWN SIMA TRUST,
GAIL STONEBURG, individually and
28 as beneficiary of THE CHARLES
STONEBURG ESTATE, MARK R.

No.
Dist. Ct. No. CV09-2771 AG (RNBx)

**NOTICE OF APPEAL TO THE
UNITED STATES COURT OF
APPEALS FOR THE NINTH CIRCUIT**

1 BAKER, individually and on behalf of
2 THE MARK BAKER IRA, and as
3 trustee of THE BAKER FAMILY
4 TRUST, MICHAEL KROUT,
5 individually and as trustee of THE
6 MICHAEL S. KROUT, A LAW
7 CORPORATION, PROFIT SHARING
8 PLAN, WILLIAM M. RUSSELL,
9 individually and on behalf of THE
10 WILLIAM RUSSELL ASSOCIATES
11 OF SLO FBO WILLIAM RUSSELL
12 PROFIT SHARING PLAN, RENA
13 STATHACOPOULOS, individually and
14 on behalf of THE SAN LOUIS OBISPO
15 EYE PROFIT SHARING PLAN,
16 CAROLE RENFRO, individually and as
17 trustee of THE CHARLES AND
18 CAROLE RENFRO TRUST, AUDREY
19 SCHAEFER, individually and on behalf
20 of THE AUDREY SCHAEFER ROTH
21 IRA, and as trustee of THE SCHAEFER
22 FAMILY TRUST, MICHAEL
23 SCHAEFER, individually and on behalf
24 of THE MICHAEL SCHAEFER ROTH
25 IRA, STEPHANIE SCHAEFER,
26 individually and on behalf of THE
27 STEPHANIE SCHAEFFER IRA,
28 LINDA GAFFNEY, individually and as
trustee of THE JEFF AND TAMMY
BOURNE FAMILY TRUST, and
TAMMY BOURNE, individually and as
trustee of THE JEFF AND TAMMY
BOURNE FAMILY TRUST,

Petitioners,

v.

ASSOCIATED SECURITIES CORP.
and JEFFREY ALLAN FORREST,

Respondents.

1 Respondent Associated Securities, Corp. (ASC) appeals to the United
2 States Court of Appeals for the Ninth Circuit from this Court's ORDER DENYING
3 MOTION TO REMAND; MOTION TO DISMISS; PETITION TO VACATE entered
4 in this case on June 1, 2009 (the "Order"), only with respect to that part of the Order
5 denying ASC's Petition to Vacate Arbitration Award.

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7 DATED: April 22, 2009

G. Thomas Fleming III
William M. Turner
Jones, Bell, Abbott, Fleming & Fitzgerald L.L.P.

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10 By: _____/s/_____
11 WILLIAM M. TURNER
12 Attorneys for Respondent Associated Securities Corp.
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