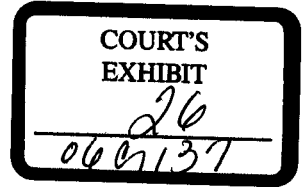


UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT



UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

RONALD E. FERGUSON

**VERDICT FORM FOR DEFENDANT RONALD E. FERGUSON**

**COUNT ONE: CONSPIRACY**

As to Count One charging Ronald E. Ferguson with conspiracy, we find the defendant (*check one*):

GUILTY

NOT GUILTY

If you found Ronald E. Ferguson guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Ronald E. Ferguson conspired to commit securities fraud?

YES

NO

Do all twelve of you agree that Ronald E. Ferguson conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

YES

NO

Do all twelve of you agree that Ronald E. Ferguson conspired to falsify and cause to be falsified the books and records of a public company?

YES

NO

Do all twelve of you agree that Ronald E. Ferguson conspired to commit mail fraud?

YES

NO



**COUNT SEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Seven charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY \_\_\_\_\_ NOT GUILTY

**COUNT EIGHT: SECURITIES FRAUD**

As to Count Eight charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY \_\_\_\_\_ NOT GUILTY

**COUNT NINE: SECURITIES FRAUD**

As to Count Nine charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY \_\_\_\_\_ NOT GUILTY

**COUNT TEN: SECURITIES FRAUD**

As to Count Ten charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY \_\_\_\_\_ NOT GUILTY

**COUNT ELEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Eleven charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY \_\_\_\_\_ NOT GUILTY

**COUNT TWELVE: FALSE STATEMENTS TO THE SEC**

As to Count Twelve charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC**

As to Count Thirteen charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT FOURTEEN: MAIL FRAUD**

As to Count Fourteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT FIFTEEN: MAIL FRAUD**

As to Count Fifteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT SIXTEEN: MAIL FRAUD**

As to Count Sixteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

CHRISTOPHER P. GARAND

VERDICT FORM FOR DEFENDANT CHRISTOPHER P. GARAND

COUNT ONE: CONSPIRACY

As to Count One charging Christopher P. Garand with conspiracy, we find the defendant (*check one*):

GUILTY

NOT GUILTY

If you found Christopher P. Garand guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Christopher P. Garand conspired to commit securities fraud?

YES

NO

Do all twelve of you agree that Christopher P. Garand conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

YES

NO

Do all twelve of you agree that Christopher P. Garand conspired to falsify and cause to be falsified the books and records of a public company?

YES

NO

Do all twelve of you agree that Christopher P. Garand conspired to commit mail fraud?

YES

NO

**COUNT EIGHT: SECURITIES FRAUD**

As to Count Eight charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT NINE: SECURITIES FRAUD**

As to Count Nine charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT TEN: SECURITIES FRAUD**

As to Count Ten charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT ELEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Eleven charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT TWELVE: FALSE STATEMENTS TO THE SEC**

As to Count Twelve charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC**

As to Count Thirteen charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY                                   NOT GUILTY

**COUNT FOURTEEN: MAIL FRAUD**

As to Count Fourteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

GUILTY                                   NOT GUILTY

**COUNT FIFTEEN: MAIL FRAUD**

As to Count Fifteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

GUILTY                                   NOT GUILTY

**COUNT SIXTEEN: MAIL FRAUD**

As to Count Sixteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

GUILTY                                   NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

ROBERT D. GRAHAM

**VERDICT FORM FOR DEFENDANT ROBERT D. GRAHAM**

**COUNT ONE: CONSPIRACY**

As to Count One charging Robert D. Graham with conspiracy, we find the defendant (*check one*):

GUILTY

NOT GUILTY

If you found Robert D. Graham guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Robert D. Graham conspired to commit securities fraud?

YES

NO

Do all twelve of you agree that Robert D. Graham conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

YES

NO

Do all twelve of you agree that Robert D. Graham conspired to falsify and cause to be falsified the books and records of a public company?

YES

NO

Do all twelve of you agree that Robert D. Graham conspired to commit mail fraud?

YES

NO



**COUNT TWO: SECURITIES FRAUD**

As to Count Two charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT THREE: SECURITIES FRAUD**

As to Count Three charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT FOUR: SECURITIES FRAUD**

As to Count Four charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT FIVE: SECURITIES FRAUD**

As to Count Five charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT SIX: FALSE STATEMENTS TO THE SEC**

As to Count Six charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY

NOT GUILTY

**COUNT SEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Seven charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY                           NOT GUILTY

**COUNT EIGHT: SECURITIES FRAUD**

As to Count Eight charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY                           NOT GUILTY

**COUNT NINE: SECURITIES FRAUD**

As to Count Nine charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY                           NOT GUILTY

**COUNT TEN: SECURITIES FRAUD**

As to Count Ten charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

GUILTY                           NOT GUILTY

**COUNT ELEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Eleven charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY                           NOT GUILTY

**COUNT TWELVE: FALSE STATEMENTS TO THE SEC**

As to Count Twelve charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY    \_\_\_\_\_ NOT GUILTY

**COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC**

As to Count Thirteen charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY    \_\_\_\_\_ NOT GUILTY

**COUNT FOURTEEN: MAIL FRAUD**

As to Count Fourteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY    \_\_\_\_\_ NOT GUILTY

**COUNT FIFTEEN: MAIL FRAUD**

As to Count Fifteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY    \_\_\_\_\_ NOT GUILTY

**COUNT SIXTEEN: MAIL FRAUD**

As to Count Sixteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY    \_\_\_\_\_ NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

CHRISTIAN M. MILTON

**VERDICT FORM FOR DEFENDANT CHRISTIAN M. MILTON**

**COUNT ONE: CONSPIRACY**

As to Count One charging Christian M. Milton with conspiracy, we find the defendant (*check one*):

GUILTY

NOT GUILTY

If you found Christian M. Milton guilty as to Count One, then answer the following question:

Do all twelve of you agree that Christian M. Milton conspired to commit securities fraud?

YES

NO

Do all twelve of you agree that Christian M. Milton conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

YES

NO

Do all twelve of you agree that Christian M. Milton conspired to falsify and cause to be falsified the books and records of a public company?

YES

NO

Do all twelve of you agree that Christian M. Milton conspired to commit mail fraud?

YES

NO

**COUNT TWO: SECURITIES FRAUD**

As to Count Two charging Christian M. Milton with securities fraud, we find the defendant  
(*check one*):

GUILTY                                   NOT GUILTY

**COUNT THREE: SECURITIES FRAUD**

As to Count Three charging Christian M. Milton with securities fraud, we find the defendant  
(*check one*):

GUILTY                                   NOT GUILTY

**COUNT FOUR: SECURITIES FRAUD**

As to Count Four charging Christian M. Milton with securities fraud, we find the defendant  
(*check one*):

GUILTY                                   NOT GUILTY

**COUNT FIVE: SECURITIES FRAUD**

As to Count Five charging Christian M. Milton with securities fraud, we find the defendant  
(*check one*):

GUILTY                                   NOT GUILTY

**COUNT SIX: FALSE STATEMENTS TO THE SEC**

As to Count Six charging Christian M. Milton with making or causing to be made false and  
misleading statements in documents and reports required to be filed under the Securities  
Exchange Act of 1934, we find the defendant (*check one*):

GUILTY                                   NOT GUILTY





UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

ELIZABETH A. MONRAD

VERDICT FORM FOR DEFENDANT ELIZABETH A. MONRAD

COUNT ONE: CONSPIRACY

As to Count One charging Elizabeth A. Monrad with conspiracy, we find the defendant (*check one*):

GUILTY

NOT GUILTY

If you found Elizabeth A. Monrad guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Elizabeth A. Monrad conspired to commit securities fraud?

YES

NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

YES

NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to falsify and cause to be falsified the books and records of a public company?

YES

NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to commit mail fraud?

YES

NO



**COUNT TWO: SECURITIES FRAUD**

As to Count Two charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):

GUILTY  NOT GUILTY

**COUNT THREE: SECURITIES FRAUD**

As to Count Three charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):

GUILTY  NOT GUILTY

**COUNT FOUR: SECURITIES FRAUD**

As to Count Four charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):

GUILTY  NOT GUILTY

**COUNT FIVE: SECURITIES FRAUD**

As to Count Five charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):

GUILTY  NOT GUILTY

**COUNT SIX: FALSE STATEMENTS TO THE SEC**

As to Count Six charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (check one):

GUILTY  NOT GUILTY

**COUNT SEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Seven charging Elizabeth A. Monrad or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY  NOT GUILTY

**COUNT EIGHT: SECURITIES FRAUD**

As to Count Eight charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

GUILTY  NOT GUILTY

**COUNT NINE: SECURITIES FRAUD**

As to Count Nine charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

GUILTY  NOT GUILTY

**COUNT TEN: SECURITIES FRAUD**

As to Count Ten charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

GUILTY  NOT GUILTY

**COUNT ELEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Eleven charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

GUILTY  NOT GUILTY



**COUNT SIXTEEN: MAIL FRAUD**

As to Count Sixteen charging Elizabeth A. Monrad with mail fraud, we find the defendant (*check one*):

X GUILTY

\_\_\_\_ NOT GUILTY

Dated at Hartford, Connecticut, on this 25 day of February, 2008.

  
JURY FOREPERSON